



Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Martín de T. Pinto et al.

Application No.: 10/060,965

Filed: October 19, 2001

For: **RECIRCULATING ANODE**

Art Unit: To be assigned

Examiner: To be assigned

**TRANSMITTAL OF MISSING PARTS**

**ATTN: Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Combined Declaration and Power of Attorney executed by the inventors;
- ☒ Copy of Filing Receipt with corrections to be made; and
- ☒ Return postcard


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CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 28 2002  
Date of Deposit

Diana Vilkaitis  
Name of Person Mailing Paper

  
Signature of Person Mailing Paper

The fees due are calculated as follows:

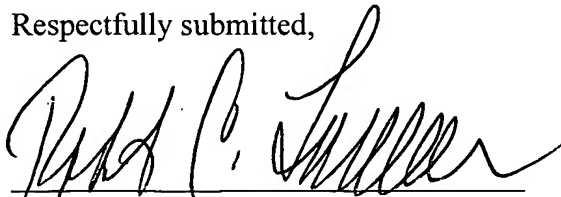
Filing Fee for Patent Application (37 C.F.R. § 1.16)	\$370.00
Fee for excess claims over 20 (37 C.F.R. § 1.16)	117.00
Fee for excess Independent Claims	0.00
Surcharge for Multiple Dependent Claims	0.00
Surcharge for late filing of Declaration (37 C.F.R. § 1.16)	65.00
Extension of time fees under 37 C.F.R. § 1.13	0.00
<b>TOTAL FEES SUBMITTED HERewith</b>	<b>\$552.00</b>

The U.S. Patent and Trademark Office is hereby authorized to charge \$552.00 to our Deposit Account No. **08-3038**, referencing Docket No. 04813.0013.NPUS00. A duplicate copy of this transmittal is enclosed.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**.

If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. **08-3038**.

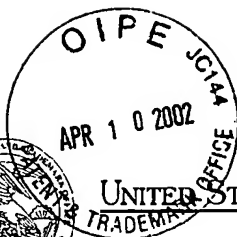
Respectfully submitted,



Robert C. Laurenson, Reg. No. 34,206

Date: March 28, 2002

HOWREY SIMON ARNOLD & WHITE, LLP  
 301 Ravenswood Avenue,  
 Menlo Park, CA 94025  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/060,965	10/19/2001	Martin Tezanos Pinto	04813.0013.NPUS00

22930

HOWREY SIMON ARNOLD & WHITE LLP  
BOX 34  
1299 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004

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DOCKET DEPT.

HOWREY SIMON ARNOLD &amp; WHITE

MAR 26 2002

CONFIRMATION NO. 7892

FORMALITIES LETTER



\*OC000000007685613\*

WASHINGTON, D.C.

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/12/2002 BABRAHA1 00000028 083038 10060965

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH  
02 FC:205 65.00 CH  
03 FC:203 117.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$117.
  - \$117 for 13 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 552.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

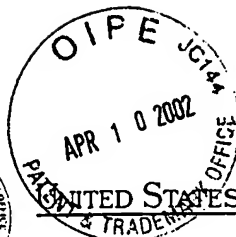
Docketed

P  
3/26/02

*A copy of this notice MUST be returned with the reply.*

Phuong Bui  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/060,965	10/19/2001	1741	0.00	04813.0013.NPUS00	7	33	3

CONFIRMATION NO. 7892

~~22930~~ 27240

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BOX 34

1299 PENNSYLVANIA AVENUE NW 301 Ravenswood Avenue

WASHINGTON, DC 20004 Menlo Park, CA 94025

## FILING RECEIPT



\*OC000000007685612\*

Date Mailed: 03/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Martin Tezanos Pinto, Residence Not Provided;~~ Martin de Tezanos Pinto, Carlsbad, CA  
~~Stuart Smedley, Residence Not Provided;~~ Stuart I. Smedley, Escondido, CA  
~~Guangwei Wu, Residence Not Provided;~~ Sunnyvale, CA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/20/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Recirculating anode

Preliminary Class

204

Docketed

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3/26/02

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MAR 26 2002  
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